

THE TCA ADVANTAGE



Trust Company of America (TCA) is an independent custodian protecting the assets of investors since 1972. Our technology provides the access, transparency and security you need to monitor your investments and feel confident in their safekeeping.

The Role of Your Custodian

A custodian is a specialized financial institution that holds investors' securities to minimize the risk of their theft or loss. Custodians also offer other services including settling purchases and sales, reporting the status of assets, account administration, collection of dividends and interest payments, and tax compliance and reporting.*

The Benefits of Your Custodian

At TCA, we understand the needs of investors and offer technology and services designed to improve your investment experience.



ACCESS

Our state-of-the-art account management tool, Liberty, marries power with convenience. Liberty delivers a robust variety of features without ever sacrificing ease-of-use. Your account portal grants you instant access to everything you need to know. You'll be able to:

- Access all of your account information — including positions, transactions, gains and losses — in real time, all in a single, inviting, user-friendly interface.
- Monitor your investment strategies, objectives and custom wealth plan.
- Get the information you need, even on the go — Liberty is optimized for mobile devices and available 24/7, so you can securely access your information from anywhere in the world.

TRUST COMPANY OF AMERICA AT A GLANCE

- A trust company by charter, founded in 1972
- Largest independent custodian in America, more than \$14 billion in assets under custody**
- Government regulated, FDIC-insured

**As of 12/31/15

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TRANSPARENCY

With Liberty, you're always able to see what you own, what you're paying for it and how your investments are performing. We built our technology to give you reliable access from any device, whenever you need it. Liberty allows you to:

- Monitor performance, holdings, transaction history and more with complete transparency and real-time online access.
- Access quarterly statements.
- View of all your essential account information at a glance, including what you own and how it's allocated.
- Download a wide variety of statements and reports, including a full year of account statements, four years of tax forms, long-term and short-term gain information, historical snapshots of your account balance and account data for import into third-party applications.



SAFETY AND SOUNDNESS

We understand how important your investments are and work hard to be an organization worthy of your trust. You can rest easy knowing that the safekeeping of your data and assets is a top priority for us. TCA is:

- Highly insured, including FDIC (Federal Deposit Insurance Corporation) insurance for investors' deposits.
- Highly regulated as a member of the FDIC and the Colorado Division of Banking.
- A recipient of the highest rating for capital adequacy from both the FDIC and the Colorado Division of Banking.
- Financially sound, with more than \$14 billion under custody and no long-term debt — TCA does not make loans, therefore we have no exposure to defaulting loans.
- Financials audited by a top ten CPA firm annually.
- Committed to data security — we invest in industry-leading technology to ensure the security and confidentiality of your account information and the safekeeping of your assets.
- TCA is an ISCO 27001:2005 certified custodian — the ISO certification ensures compliance in protecting critical and sensitive data while adhering to the highest levels of security standards.

Contact your advisor for more details on what having TCA as a custodian means for you.

