MONEY MANAGERS LISTING REQUEST
Institutional Advisor Services

General Instructions
This form is required to add, delete, or change a listing on the Money Managers Listing. It is also required to be added to the distribution to receive updated versions of the listing whenever changes are made.

All fields are required unless noted. All fields are required unless designated as 'if applicable'.

Print or type all entries. To type entries, a fillable PDF of this form can be requested from your client service representative or found online at www.trustamerica.com/advisor-forms.

Section 1: Type of Request
Select all that apply.

Section 2: Requestor
Enter the information for the requestor. This person will be contacted if additional information is needed to complete the request. The requestor will also receive an email notification that the request has been completed. *Note: If no email is provided, the requestor will not receive a notification.*

Section 3: Listing Information
Select if you would like to add, delete, or change a listing. Then enter the listing information. Check the 'Money Management offering description provided' and provide your up to 100 words description on a separate piece of paper titled 'Money Manager Listing' and the name of your firm, or provide the description in the email accompanying this form. *If a field is left blank or description is not provided, the information will not be included in the listing.*

Sample listing:

MainStreet Advisors
Sam Jones
555-555-5555
sjones@mainstreet.com
www.mainstreet.com

<Money management offering description, up to 100 words>

Important: Only TCA by E*TRADE clients (advisors holding assets on the TCA by E*TRADE platform) can be included in the Money Managers Listing.

Important: The advisor managing the end investor account is responsible for the selection and screening of money managers. TCA by E*TRADE does not screen, recommend, or endorse money managers. TCA by E*TRADE acts as the asset custodian and does not offer investment advice.

*Note: TCA by E*TRADE will present the listings alphabetically and will not accept any compensation for enhanced listings. The list will be provided by request only to advisors on our platform and to prospects interested in TCA by E*TRADE custodial services.*

Section 4: Distribution List Request
Check the box to add the email addresses provided to the Money Managers Listing distribution group. Each email address will receive updated versions of the Money Managers Listing as they are published. There is no estimated frequency for updated versions.

Important: Only TCA by E*TRADE clients can be included in the distribution group for the Money Managers Listing.

Section 5: Signature and Date
Sign and date the form.

Then fax the signed form to (303) 705-6490, or scan and email the form to your relationship manager.

FOR TCA BY E*TRADE USE ONLY

Date Added or Deleted

SECTION 1: Type of Request

Select all that apply:
☐ Providing listing information (add, delete, or change)
☐ Requesting to receive updated listings

SECTION 2: Requestor

Requesters Name	
Phone	Email Address

SECTION 3: Listing Information, if applicable

Select one: ☐ Add ☐ Delete ☐ Change

Firm Name

Contact Name

Phone

Email Address

Website address

☐ Money management offering description provided, up to 100 words

SECTION 4: Distribution List Request, if applicable

☐ Add the following email addresses to the Money Managers Listing distribution group.

Email Address

Email Address

Email Address

Email Address

SECTION 5: Signature and Date

Investment Advisor Signature	Date

- End of Form -